



PATENT Customer No. 22,852 Attorney Docket No. 4329.2698

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)
NORIMASA NIIYA	) Group Art Unit: 2643
Serial No.: 09/993,708	) Examiner: Unknown
Filed: November 27, 2001	RECEIVED  APR 0 2. 2002
For: KEY TELEPHONE SYSTEM, KEY TELEPHONE, INTERFACE UNIT, AND TRANSMISSION SCHEME DETERMINATION METHOD	OFFICE OF PETITIONS ) )

Commissioner for Patents and Trademarks
Washington, DC 20231

OFFICE OF INITIAL PATENT EXAMINATION

Sir:

### REQUEST FOR CORRECTED FILING RECEIPT

We are forwarding herewith a copy of a Filing Receipt for the above-identified patent application. As indicated in red ink on the attached copy, there is an error in the Filing Receipt. The error is that the filing date is incorrect. The correct filing date is November 27, 2001.

Applicant filed the complete application, including all figures, on November 27, 2001, as reflected on the attached stamped PTO postcard initially filed with the application. In addition, enclosed is a copy of the postcard received from the Patent Office with the advance serial number which also reflects a filing date of November 27, 2001. Finally, applicant received a Notice of Omitted Item(s) from the Patent Office dated December 11, 2001 (copy enclosed), indicating Figs. 14 and 15 were not received with the filing of the application. Applicant responded to the Notice with a

FINNEGAN HENDERSON FARABOW GARRETT& DUNNER LLP

1300 I Street, NW Washington, DC 20005 202.408.4000 Fax 202.408.4400 www.finnegan.com Response to Notice of Omitted Item(s) (copy attached) filed January 17, 2002, explaining and providing evidence that all of the Figures (including Figs. 14 and 15) were **originally filed** with the application on November 27, 2001, and authorizing that if any fee was due in connection with the filing of the Response that the fee should be charged to Deposit Account No. 06-0916.

In view of the above, it is respectfully requested that a corrected Filing Receipt be issued as soon as possible reflecting the correct filing date of November 27, 2001.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated: 3

Bv:

Richard V. Burgujian

Reg. No. 31,744

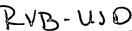
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# UNITED STATES PATENT AND

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231

www.uspto.gov
ATTY.DOCKET.NO DRAWINGS TOT CLAIMS IND CLAIMS

APPLICATION NUMBER

FILING DATE 01/17/2002

GRP ART UNIT

FIL FEE REC'D

04329.2698

98

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09/993,708

11/27/2001

RECEIVE.

**CONFIRMATION NO. 8384** 

UPDATED FILING RECEIPT

Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P. 1300 | Street, N.W. Washington, DC 20005-3315

FEB 0.5 2002

\*OC00000007407494\*

FINNEGAN , HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Date Mailed: 02/01/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Norimasa Niiya, Hino-shi, JAPAN;

Assignment For Published Patent Application

KABUSHIKI KAISHA TOSHIBA;

Domestic Priority data as claimed by applicant

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APR 0 2 2002

**OFFICE OF PETITIONS** 

**Foreign Applications** 

JAPAN 2001-005559 01/12/2001

If Required, Foreign Filing License Granted 12/11/2001

Projected Publication Date: 07/18/2002

Non-Publication Request: No

Early Publication Request: No

FER 0 5 2002

Title

Key telephone system, key telephone, interface unit, and transmission scheme determination method

DX 1902



### PLEASE STAMP TO ACKNOWLEDGE RECEIPT OF THE FOLLOWING:

New U.S. Patent Application for: KEY TELEPHONE SYSTEM, KEY TELEPHONE, INTERFACE UNIT, AND TRANSMISSION SCHEME DETERMINATION METHOD

Inventor:

Norimasa NIIYA

#### **BOX PATENT APPLICATION**

- 1. Check for \$1122.00
- 2. Transmittal Letter
- 3. Spec. 36 pgs. 6 indep. clms. and 25 clms. total
- 4. Drawings 10 sheets of formal drawings containing 16 figures
- 5. Declaration and Power of Attorney
- 6. Recordation Form Cover Sheet
- 7. Assignment to Kabushiki Kaisha Toshiba
- 8. Certified copy of Japanese Patent Application No. 2001-005559, filed on January 12, 2001.
- 9. Information Disclosure Statement and Information Disclosure Citation, PTO 1449 with document attached

Dated: November 27, 2001

Docket No.: 04329.2698

CUSTOMER NUMBER: 22,852 RVB/FPD/sci<sup>-</sup>Mail Drop 360<sup>-</sup>

(Due Date: November 30, 2001)

APR 0 2 2002 NOTE 11- 28-01 PMG

OFFICE OF PETITIONS

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PLEASE ACCORD THIS NEW U.S. PATENT APPLICATION A SERIAL NUMBER AND FILING DATE

New U.S. Patent Application for: KEY TELEPHONE SYSTEM, KEY TELEPHONE, INTERFACE UNIT, AND TRANSMISSION SCHEME DETERMINATION METHOD

Inventor:

Norimasa NIIYA

**SERIAL NO.:** 

FILING DATE: November 27, 2001

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APR 0 2 2002

OFFICE OF PETITIONS

Dated: November 27, 2001 Case Ref: 04329.2698

CUSTOMER NUMBER: 22,852 RVB/FPD/sci Mail Drop No. 360 JC986 U.S. PTO 09/993708

V/1-02



RVB/USD

## PLEASE STAMP TO ACKNOWLEDGE RECEIPT OF THE FOLLOWING:

In Re Application of: Norimasa NIIYA

RECEIVED

Serial No.: 09/993,708

Group Art Unit No.: 2643

APR 0 2 2002

Filed: November 27, 2001

Examiner: Not Yet Assigned OFFICE OF PETITIONS

For: KEY TELEPHONE SYSTEM, KEY TELEPHONE, INTERFACE UNIT, AND

TRANSMISSION SCHEME DETERMINATION METHOD

Response To Notice Of Omitted Item(s)

2. A copy of the Notice To Notice Of Omitted Item(s)

3. Drawings - One (1) sheet, containing 2 figures (Figs. 14 and 15)

4. A copy of date-stamped receipt card

Dated: January 17, 2002

Docket No.: 04329.2698

RVB/FPD/sci - Mail Drop 360



Due Date: February 11, 2002



#6



Customer No. 22,852 Attorney Docket No.: 04329.2698

Group Art Unit: 2643

Examiner: Not Yet Assigned

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Norimasa NIIYA

Application No.: 09/993,708

Filed: November 27, 2001

For: KEY TELEPHONE SYSTEM, KEY

TELEPHONE, INTERFACE UNIT, AND TRANSMISSION SCHEME DETERMINATION METHOD

RECEIVED

APR 0 2 2002

Assistant Commissioner for Patents Washington, DC 20231

**OFFICE OF PETITIONS** 

Attention:

**BOX MISSING PARTS** 

Sir:

# RESPONSE TO NOTICE OF OMITTED ITEM(S)

### IN A NONPROVISIONAL APPLICATION

In response to the Notice of Omitted Item(s) in a Nonprovisional Application dated December 11, 2001, it is respectfully submitted that Figures 14 and 15 were filed in the U.S. Patent and Trademark Office with the application on November 27, 2001. A copy of the date-stamped PTO card is enclosed.

Accordingly, applicants respectfully request that the Notice of Omitted Item(s) in a Nonprovisional Application be withdrawn. A copy of the Notice of Omitted Item(s) in a Nonprovisional Application is also enclosed.

FINNEGAN HENDERSON FARABOW GARRETT& DUNNER LLP

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Customer No. 22,852 Attorney Docket No.: 04329.2698

Since it appears the Office does not have the copies of Figures 14 and 15 filed on November 27, 2001, applicant submits copies thereof for the Office's use.

Since applicant filed Figures 14 and 15 timely on November 27, 2001, with the application, it is respectfully submitted that no fee should be required.

However, if any fee is due in connection with the filing of this response, or if a fee is required for an extension of time, this is a petition therefor and please charge the fees to our deposit account no. 06-0916.

Respectfully submitted,

Reg. No. 31,744

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Burgujian

Dated: January 17, 2002

Enclosures RVB/FPD/sci

FINNEGAN HENDERSON FARABOW GARRETT& DUNNER LLP

1300 I Street, NW Washington, DC 20005 202.408.4000 Fax 202.408.4400 www.finnegan.com



## United States Patent and Tradenary Office

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/993,708

11/27/2001

Norimasa Niiva

04329.2698

**CONFIRMATION NO. 8384** 

FORMALITIES LETTER

\*OC000000007183481\*

Finnegan, Henderson, Farabow. Garrett & Dunner, L.L.P. 1300 I Street, N.W. Washington, DC 20005-3315

FINNEGAN, MENDERSON,

FARABOW, GARRETT & DUNNER, L.L.P.

Date Mailed: 12/11/2001

# NOTICE OF OMITTED ITEM(S) IN A NONPROVISIONAL APPLICATION

APR 0 2 2002

FILED UNDER 37 CFR 1.53(b)

OFFICE OF PETITIONS

A filing date has been accorded to the above-identified nonprovisional application papers; however, the following item(s) appear to have been omitted from the application:

- Figure(s) Fig.14 and 15 described in the specification.
- I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit must be filed within TWO MONTHS of the date of this Notice. The petition fee will be refunded if is determined that the item(s) was received by the USPTO.
- II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h)) requesting the later filing date must be filed within TWO MONTHS of the date of this Notice.
- III. The failure to file a petition (and petition fee) under the above options (!) or (II) within TWO MONTHS of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b). In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (i.e., the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

## A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE